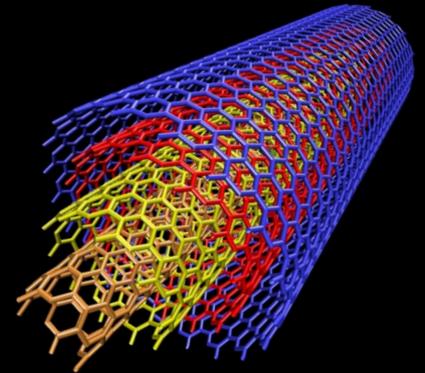
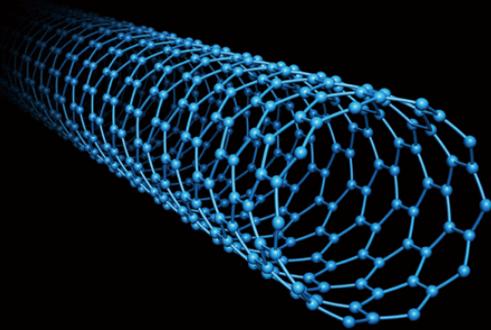
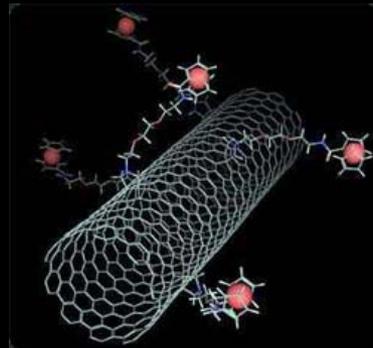


Single-walled carbon nanotubes as diagnostic sensors in vivo



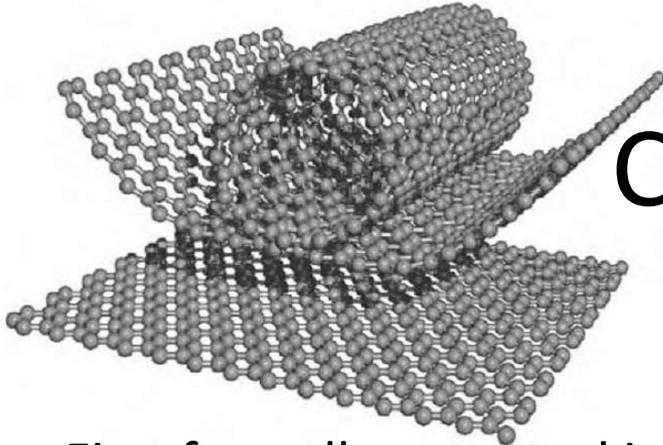
Audrey Fahrny
07.10.14

Division of Infectious Diseases &
Hospital Epidemiology, USZ



Outline

- CNTs & SWNTs
- SWNT functionalization for biomedical applications
- Current applications
- Paper 1
- Paper 2
- Conclusion & Outlook



Carbon Nanotubes (CNTs)

- First formally prepared in 1991 by Iijima
- Nano-scale hollow cylinders comprised of rolled up graphene sheets
 - Graphene sheet = hexagonal arrangement of covalently bonded carbon atoms
 - High aspect-ratio → Quasi 1-D → distinct optical & electrical properties
 - Single-walled or multi-walled carbon nanotubes (SWNTs / MWCTs)



$\Phi \geq 0.48\text{nm}$



$\Phi: 5-100\text{nm}$

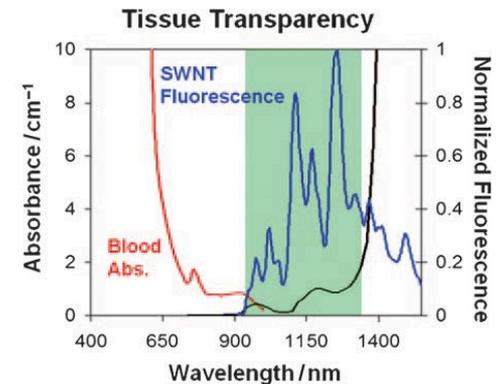
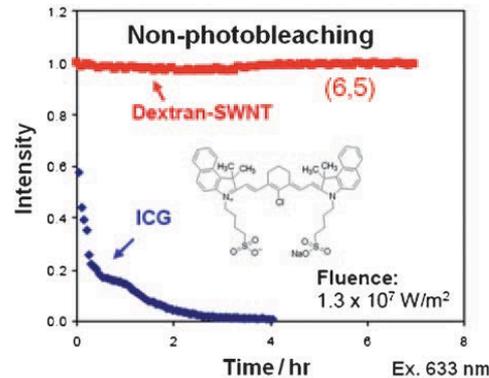
Properties of SWNTs

- Physical properties:
 - Strong & flexible
 - Highly hydrophobic surfaces → insoluble in most organic & aqueous solvents
 - Interact with cell membranes and penetrate various biological tissue
 - High surface-to-volume ratio and reduced surface → Electronic properties of SWNTs are very sensitive to their environment

- Electrical properties:
 - CNT can be metallic or semiconducting, depending on chirality
 - Electrical conductivity six orders of magnitude higher than copper
 - Excellent field emitter

- Optical properties:

- Unique NIR-fluorescence properties
 - Absorption in NIR range: can be utilized for photo thermal therapy & photoacoustic imaging
 - Emission in NIR range: 800-2000nm fluorescence
 - Photostability (no bleaching & no blinking)
- Distinct resonance enhanced Raman signatures for Raman spectroscopy



Properties of SWNTs

Main advantages for biosensing:

- NIR intrinsic fluorescence & photostability
 - Electronic and optical properties of SWNTs are very sensitive to their environment
- > inherent biosensors with unlimited lifetime

Main issues:

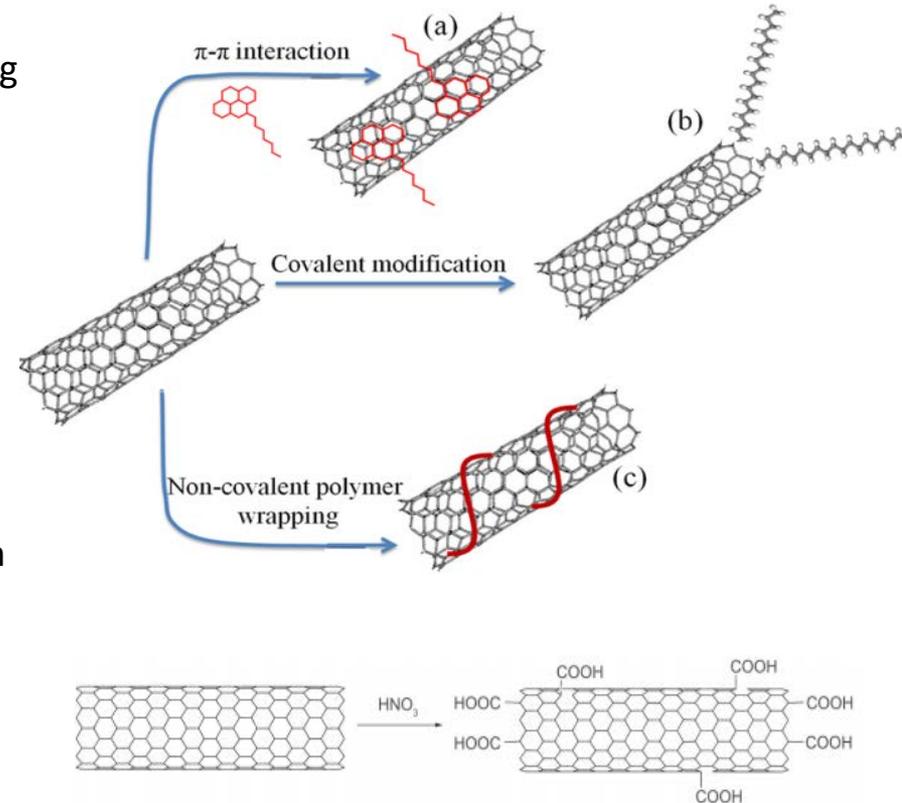
- Solubility
- Toxicity & biocompatibility
 - Bare SWNTs exhibit toxicity in vitro & in vivo
 - Depend on size and morphology of CNTs, surface chemistry, process of purification and functionalization.
- Pharmacokinetics
 - Blood circulation half-life & clearance
 - Organ bio-distribution and accumulation: after systemic administration, pristine CNTs predominantly localized in liver, lungs and spleen, exhibiting toxic effects

Surface
modifications &
functionalization

Functionalization of SWNTs: a pre-requisite for biological applications

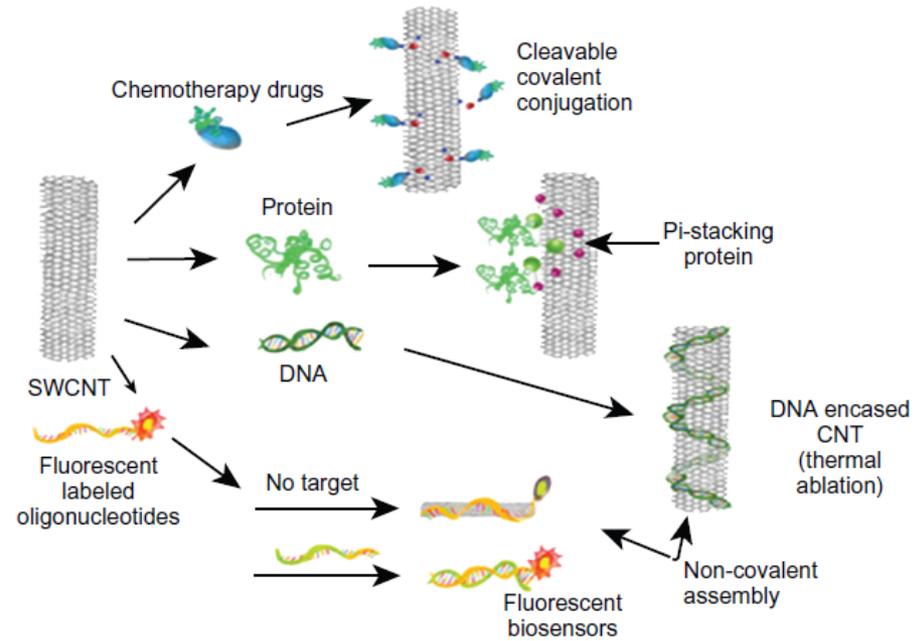
Chemical modifications to solubilize and disperse CNTs; improving biocompatibility and biodegradability, reducing toxicity

- 1. Surfactant coating** of CNTs for dispersion: Highly hydrophobic CNT surface interacts with surfactants
- 2. Covalent modifications:** Attachment of chemical groups through reactions onto the SWNT skeleton
 - CNT oxidation
 - Intrinsic electrical & optical properties of CNTs often destroyed
- 3. Non-covalent modifications:** Supramolecular adsorption or wrapping of various functional molecules
 - CNTs interact with various molecules through weak interactions: surface adsorption onto sidewalls, π - π stacking, electrostatic interactions, hydrogen bonding and van der Waals force

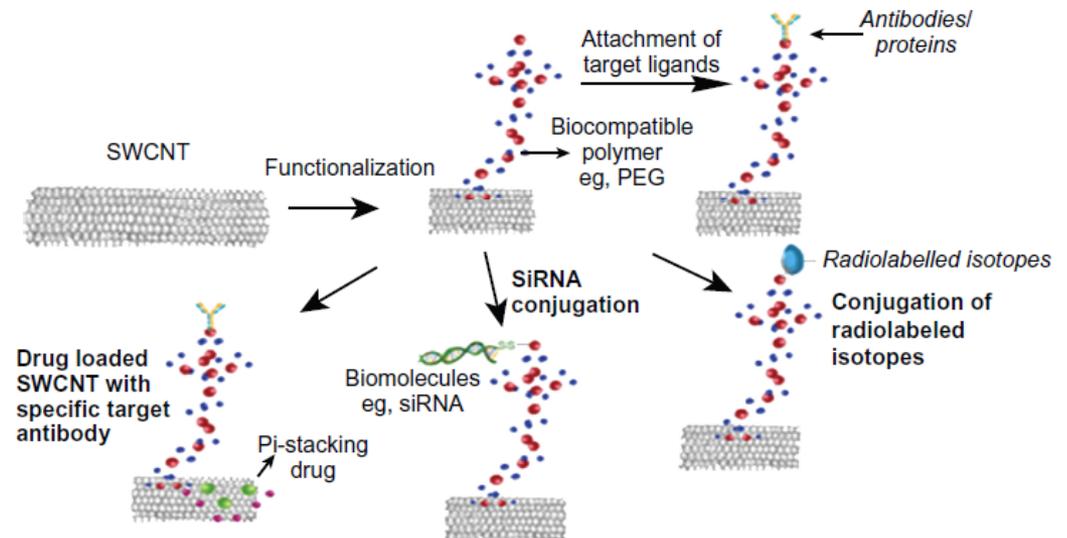


Non-covalent functionalization of SWCNTs

- Conjugation with DNA, proteins, hydrophilic polymers (eg PEG) have all proven to be effective at solubilizing CNTs and rendering them more biocompatible



- Further functionalization for specific targeting
- Huge versatility generated by functionalizing CNTs



Current biomedical applications of SWNTs

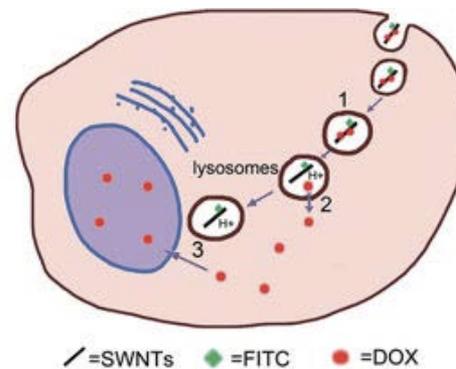
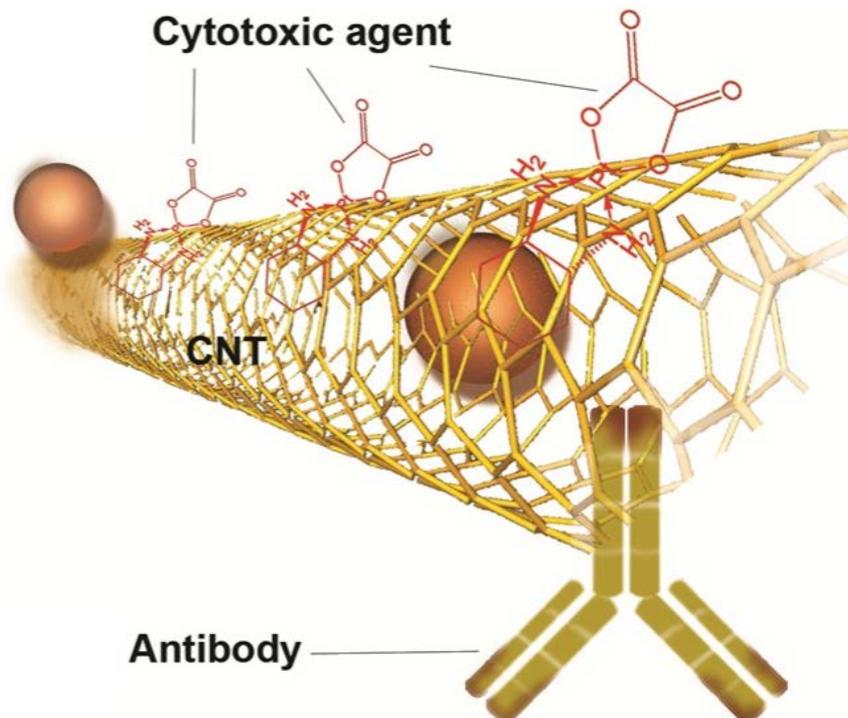
- **Therapeutics:**

- **Delivery vehicles:** shuttle various biological molecular cargoes into cells: eg drugs, oligonucleotide molecules

(Z. Liu et al. *Drug delivery with carbon nanotubes for in vivo cancer treatment*. *Cancer Res.* 2008; 68, 6652–6660)

- **Cancer therapy:** eg photothermal tumor ablation

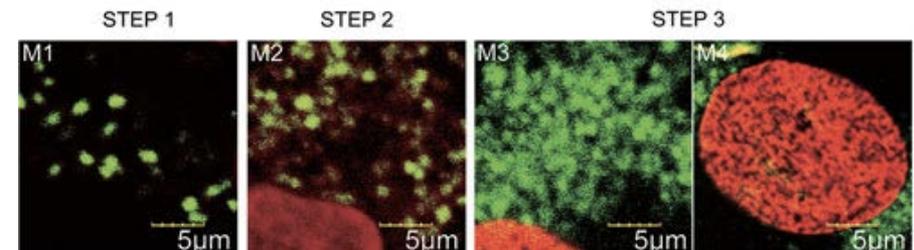
(X. Liu et al., *Optimization of surface chemistry on single-walled carbon nanotubes for in vivo photothermal ablation of tumors*. *Biomaterials* 2011;32, 144–151.)



STEP 1. FITC-CS/SWNTs/DOX enters into the lysosomes via endocytosis;

STEP 2. DOX releases inside the lysosomes and escapes into the cytoplasm;

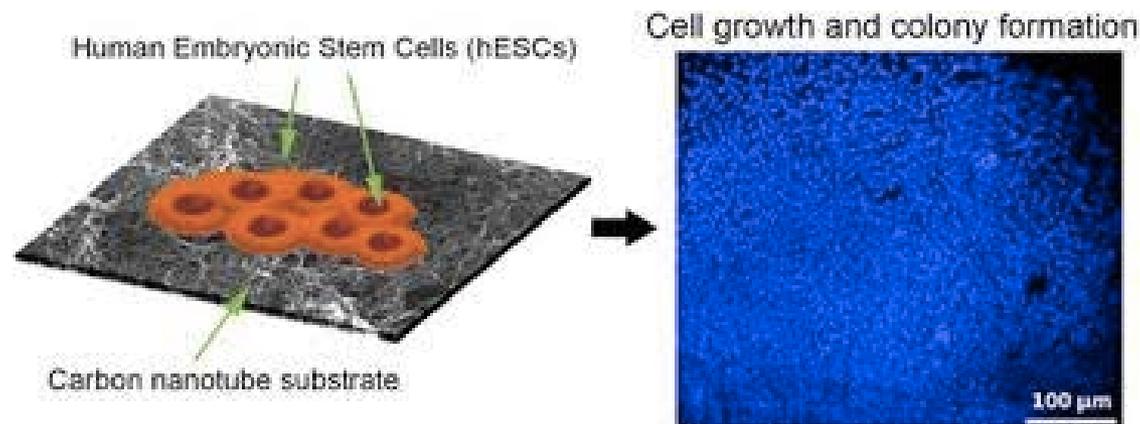
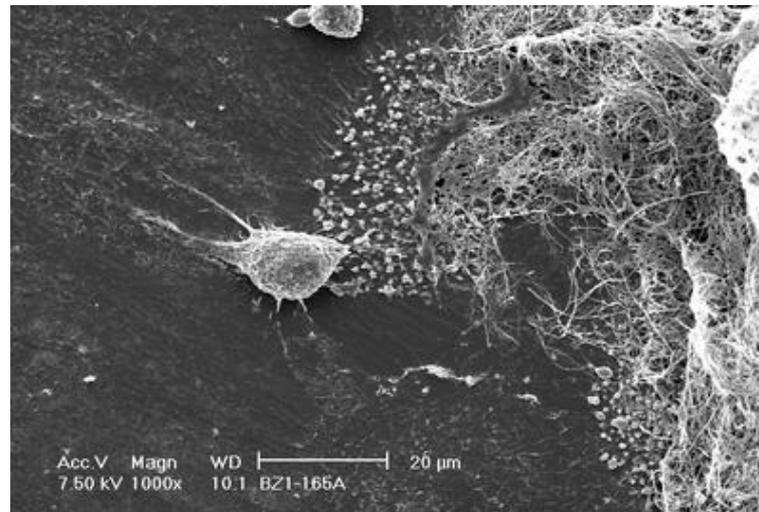
STEP 3. FITC-CS/SWNTs stay in lysosomes, free DOX enters into the nucleus.



Current biomedical applications of SWNTs

- **Scaffold** for cell culture & tissue engineering
 - Collagen & polymer fCNT-based matrices

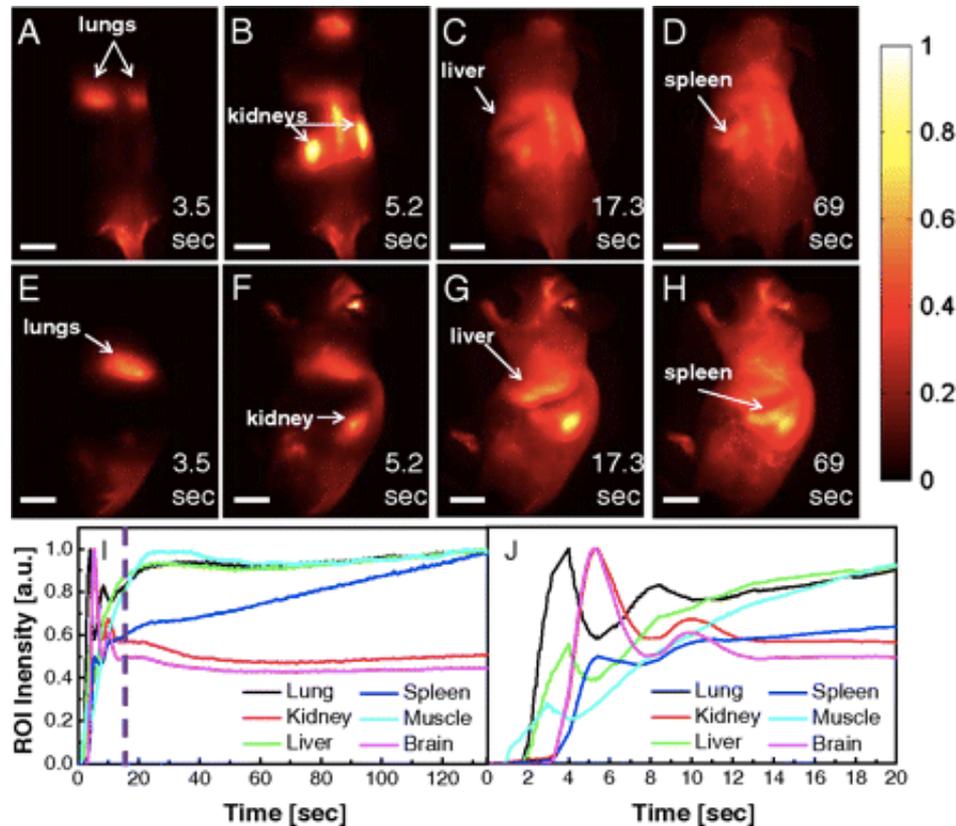
(RA. MacDonald et al. *Collagen-carbon nanotube composite materials as scaffolds in tissue engineering. J Biomed Mater Res A. 2005; 74:489-496*)



Current biomedical applications of SWNTs

- **Bioimaging:** SWNTs as optical tags or contrast agents

(K. Welsher et al. *A route to brightly fluorescent carbon nanotubes for nearinfrared imaging in mice*. *Nature Nanotech.* 2009; 4, 773–780)



Current biomedical applications of SWNTs

BIOSENSING: specific sensing of a wide variety of biological species

- Possibility to perform sensing operation without the use of any labels or complex reaction schemes
- DNA detection

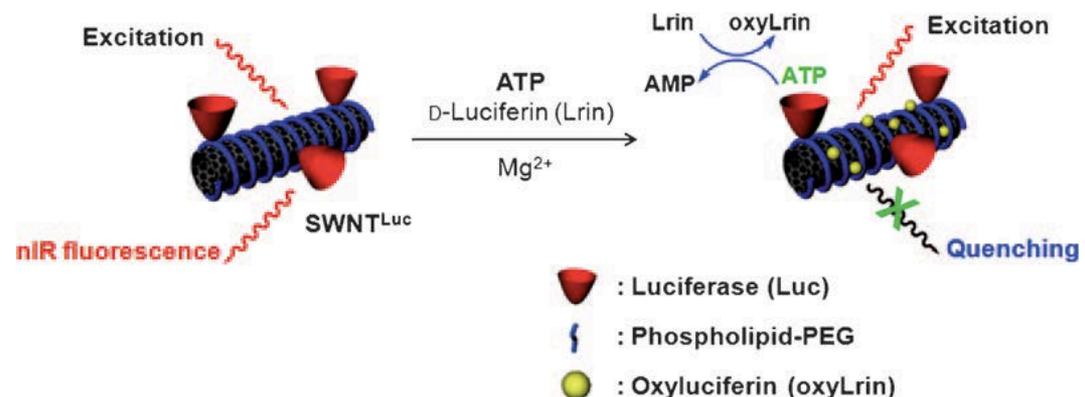
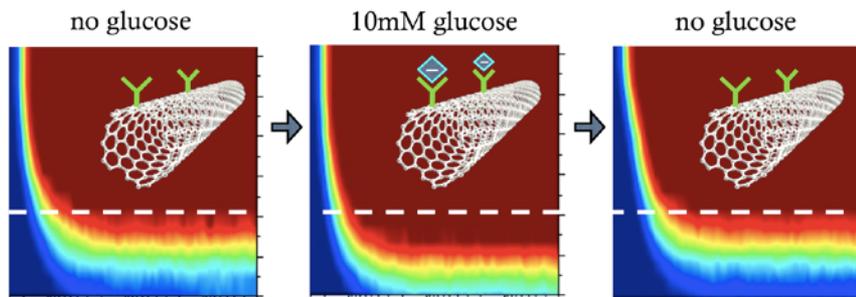
(D. A. Heller et al. *Optical detection of DNA conformational polymorphism on single-walled carbon nanotubes*. *Science* 2006; 311, 508–511.)

- Protein detection

(J. H. Ahn et al. *Label-free, single protein detection on a near-infrared fluorescent single-walled carbon nanotube/protein microarray fabricated by cell-free synthesis*. *Nano Lett.* 2011; 11, 2743–2752.)

- Analyte/single-molecule detection

(J. H. Kim et al. *The rational design of nitric oxide selectivity in single-walled carbon nanotube near-infrared fluorescence sensors for biological detection*. *Nature Chem.* 2009; 1, 473–481.)



ARTICLE

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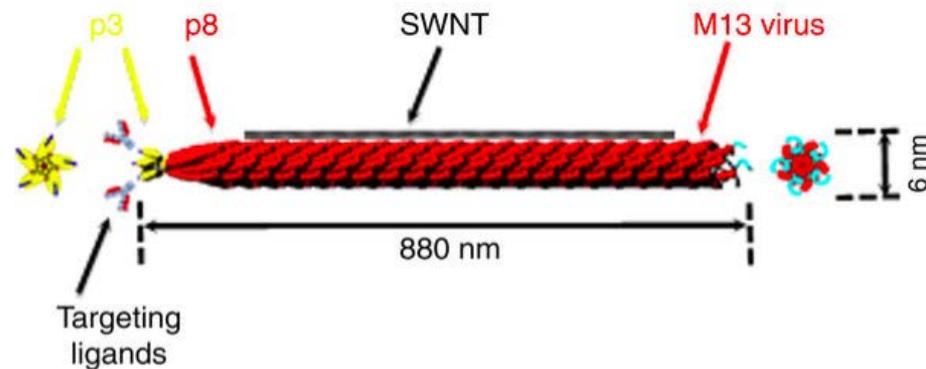
DOI: 10.1038/ncomms5918

Carbon nanotubes as *in vivo* bacterial probes

Neelkanth M. Bardhan^{1,2}, Debadyuti Ghosh^{1,2,3} & Angela M. Belcher^{1,2,4}

Introduction

- Gold standard nuclear medicine technique for imaging of infectious diseases: targeting bacteria via ex vivo radiolabelled autologous leukocytes
 - exposes patients to radiation, laborious to design and implement, requires specialized equipment and operator training.
- Aim: Targeted non-invasive fluorescence imaging of bacterial infections in vivo, using functionalized SWNT probes
- Strategy: Non-covalent conjugation of SWNTs to engineered-M13 virus phage



Dispersion & M13-functionalization of SWNTs

Engineered M13 as a multifunctional platform

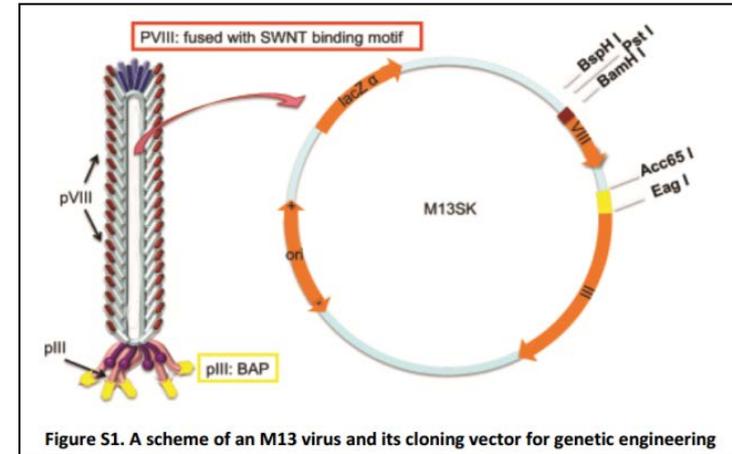
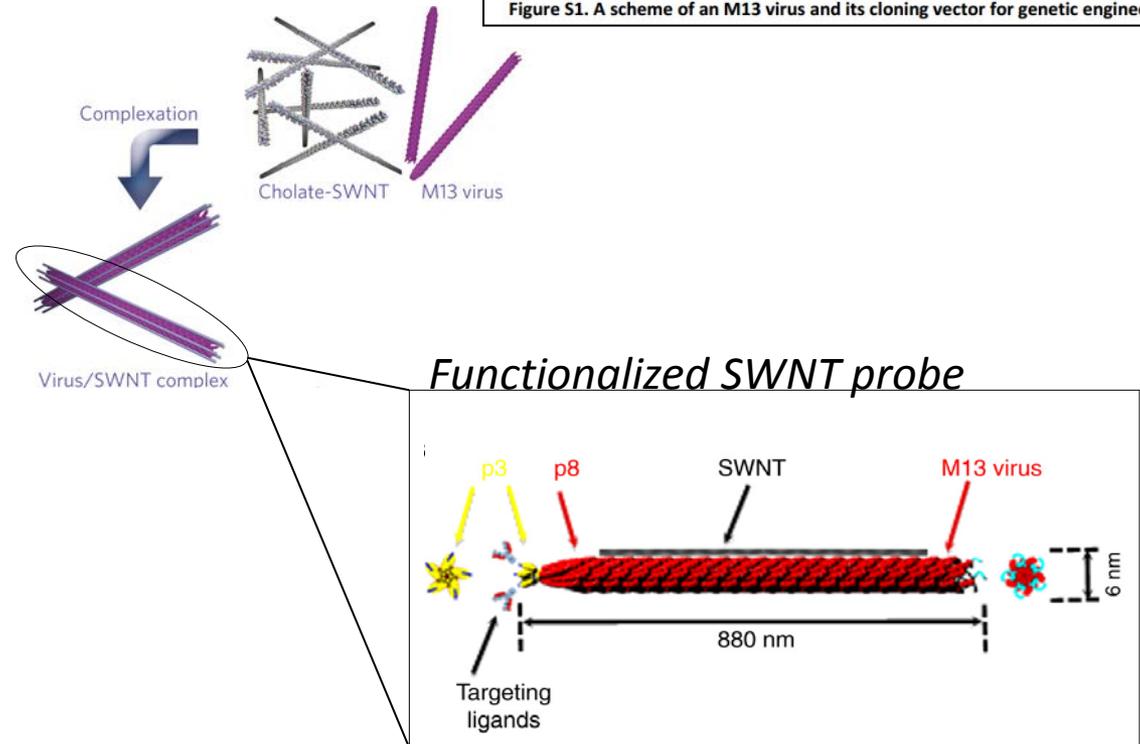


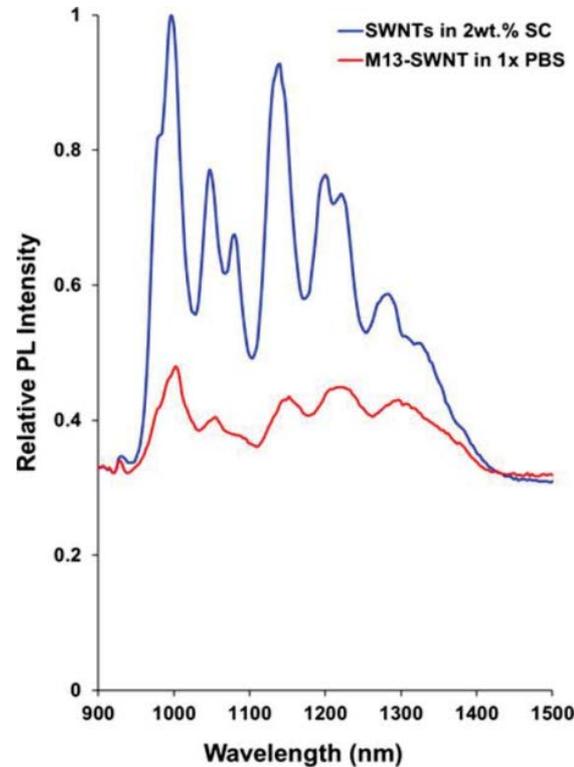
Figure S1. A scheme of an M13 virus and its cloning vector for genetic engineering

1. Commercially manufactured “plain” SWNTs
2. SWNTs dispersed in 2%(w/v) aqueous **sodium cholate** (SC-SWNTs)
3. Functionalized with **M13**:
 - M13: natural binding affinity towards strains of *E. coli* (F'-positive)
 - M13 surface capsid proteins genetically engineered to display peptides with multiple functionality (*targeting motifs, molecule carriers, fluorescent probes*)
 - Phage p8 library constructed to find best SWNT-binding M13 candidate



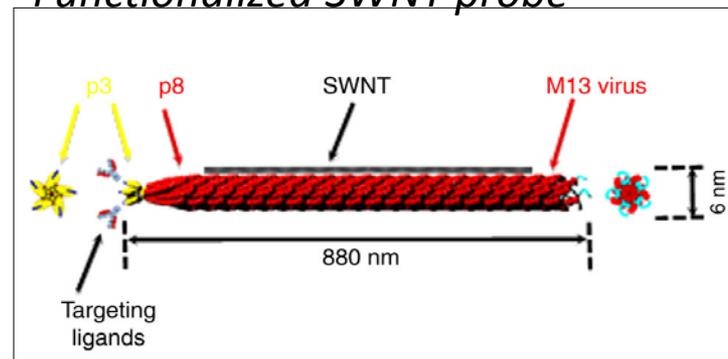
Dispersion & M13-functionalization of SWNTs

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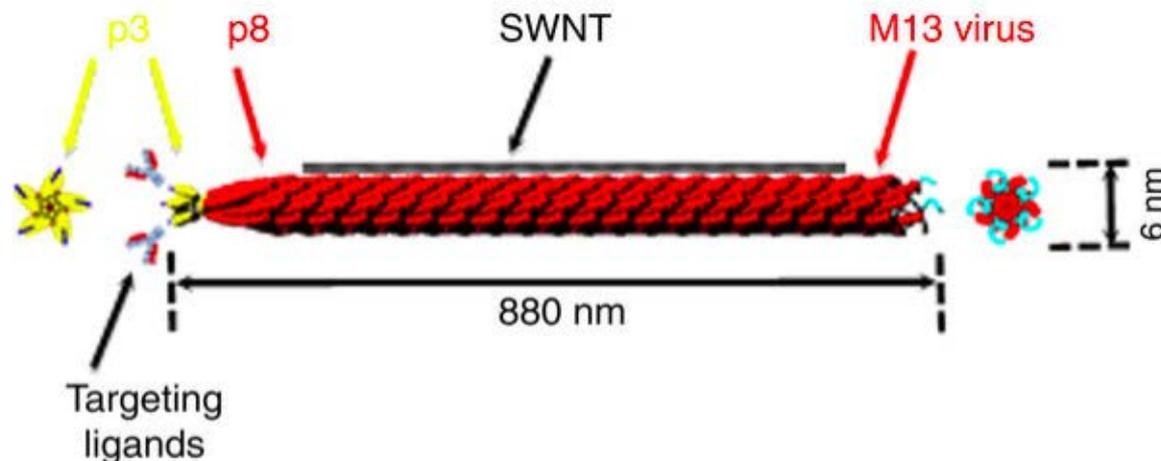
SWNT retains its photoluminescence characteristics upon M13 functionalization

Functionalized SWNT probe



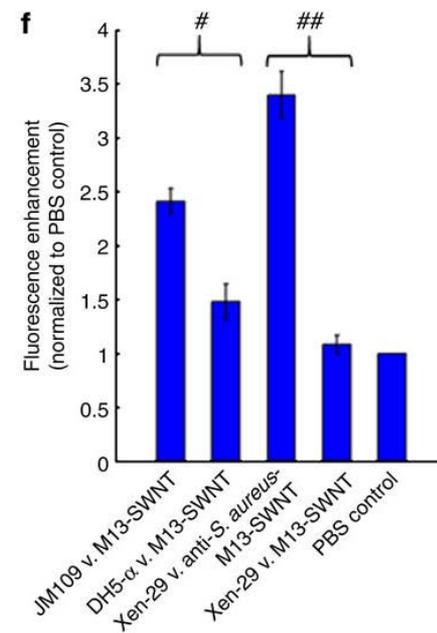
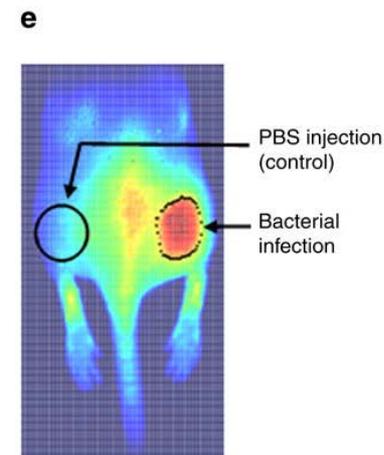
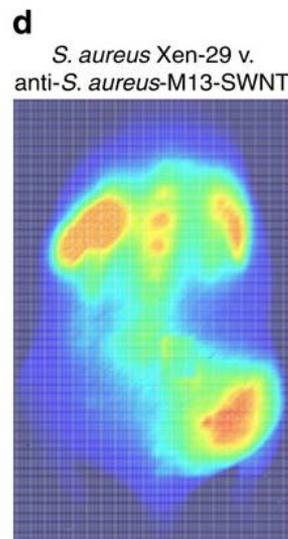
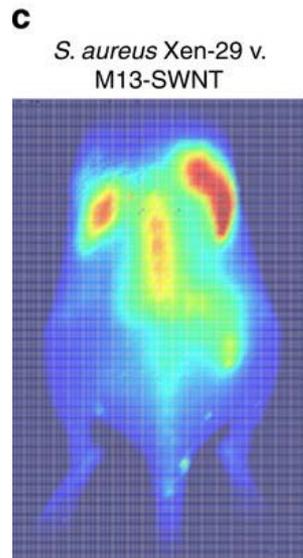
Further functionalization of M13-SWNT probe

- *Antibody-M13-SWNT* probes for targeting specific bacterial infections
- Modular one-step functionalization of M13 → Antibody-binding system incorporated on p3 coat protein:
 - Express biotin acceptor peptide (BAP) on the p3 coat protein
 - Biotinylation of BAP-M13 → site-specific conjugation of streptavidin-modified targeting moieties
 - **Attach specific anti-bacterial antibodies** to target specific bacterial infections.



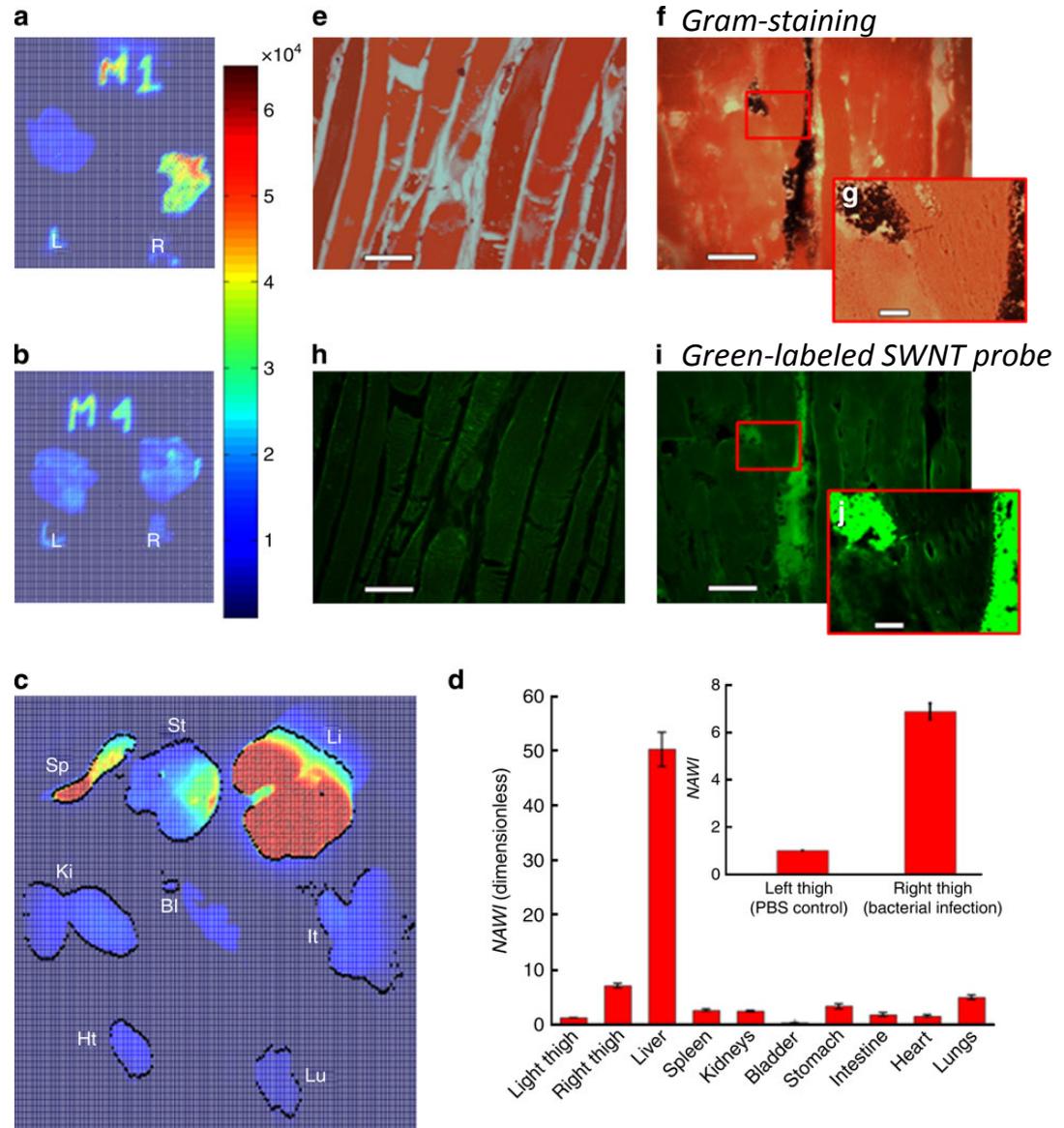
M13-SWNT probes specifically target and allow imaging of various bacterial strains in vivo

1. Established probe specificity & sensitivity in vitro
2. Testing anti *S. aureus*-M13-SWNT probe using a mouse infection model of *S. aureus*:
 - Targeted probe offers 3.1x enhancement in signal over the untargeted case
 - Tested probe with lower thresholds of bacterial infection: sensitivity remains with one order of magnitude less bacteria
 - Using SWNT probe dosage up to an order of magnitude lower than that used in other reported in vivo applications



Ex vivo validation: anti *S. aureus*-M13-SWNT probe specially targets and localizes at the site of bacterial infection

- 6.9x increase in probe localization at site of infection compared to control
- **Co-localization** of bright-field (gram-staining) & fluorescence (SWNT probe) images
 - Correlation coefficient of 0.704 ± 0.053
- Relative probe distribution of SWNT in various organs
 - Maximum probe uptake in liver and spleen
 - Probe in stomach, kidneys and intestine: clearance of fSWNTs

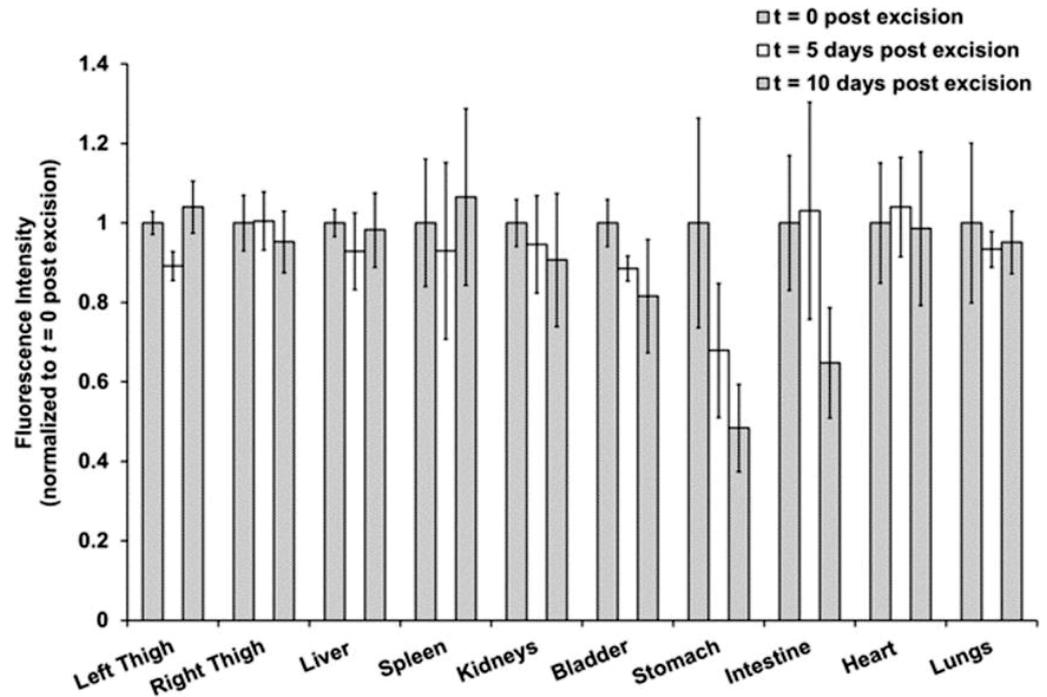
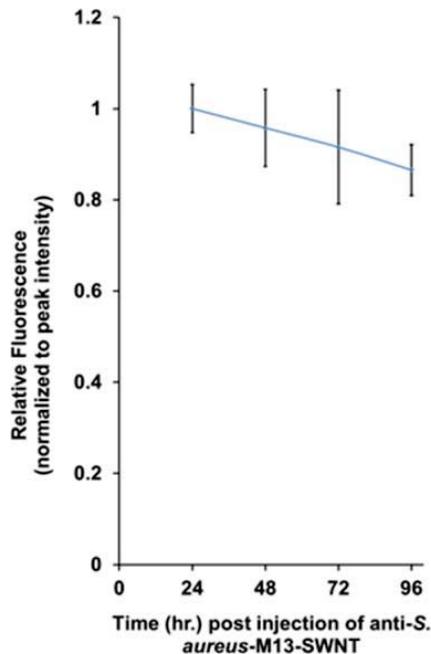


Fluorescence stability

- In vivo fluorescence signal decreases with time BUT :
- Over 96hrs SWNT probe is clearly distinguishable, with fluorescence signal $\sim 85\%$ of peak intensity.

Ex vivo:

- Most tissues retain up to $>91\%$ and $>82\%$ of their initial fluorescence signal intensity at $t = 5$ and 10 days respectively.
- Superior fluorescence stability of the SWNT probe compared to conventional dye fluorophores such as fluorescein derivatives (fluorescence half-lives ~ 10 s of seconds)

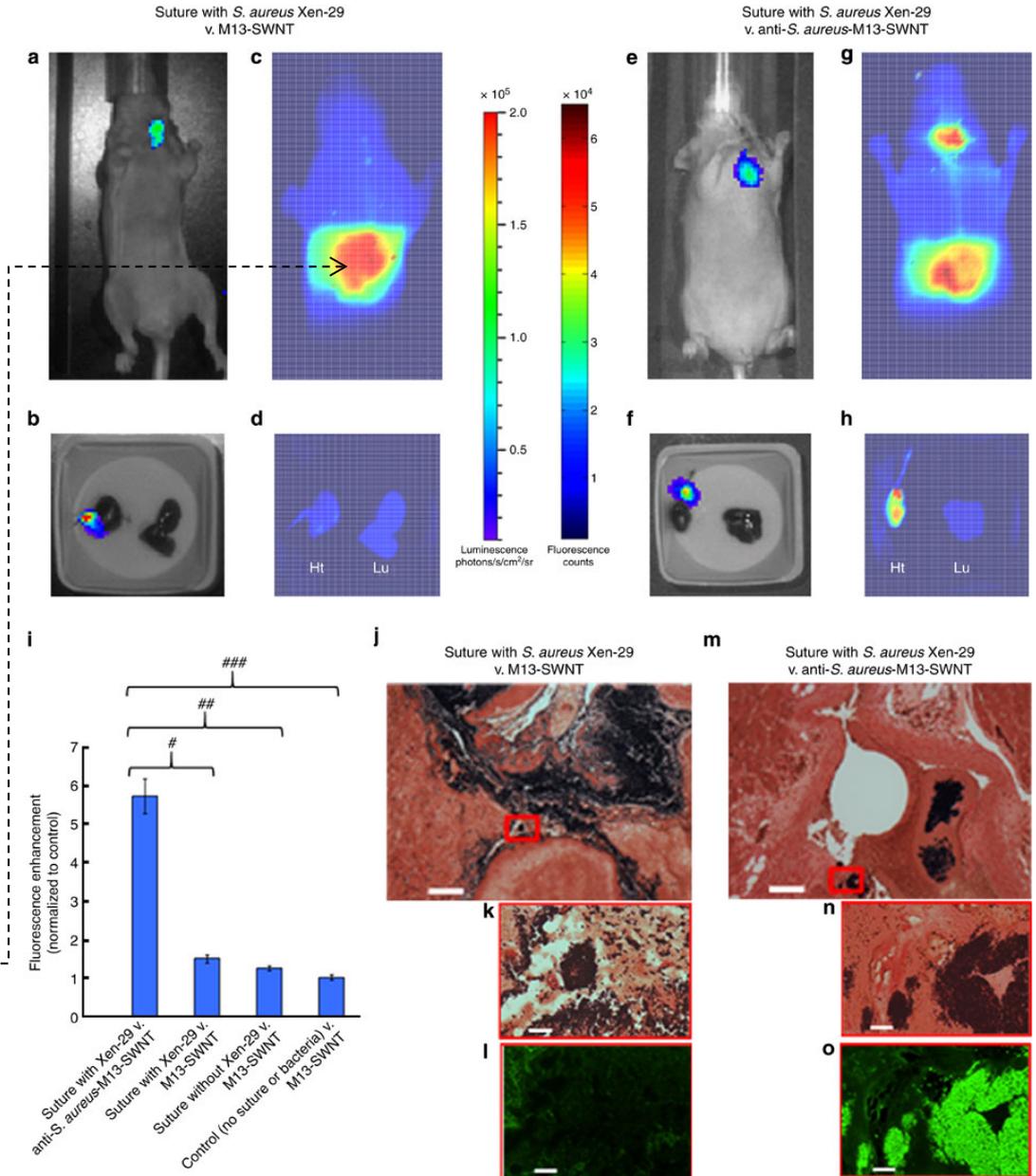
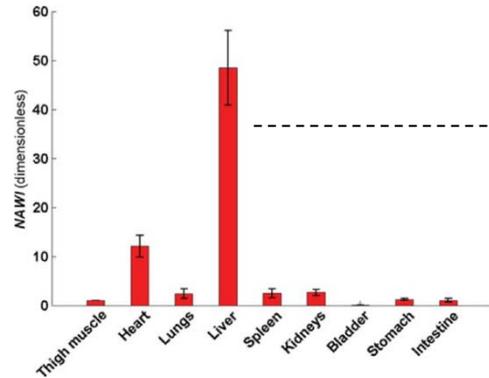


Deep-tissue in vivo imaging of bacterial endocarditis

- Established model of *S. aureus* endocarditis
- Bioluminescence from Xen-29 *S. aureus* strain vs. NIR-II fluorescence from SWNT probe

With targeted probe:

- Highly specific detection of deep-tissue infection
- 3.8x enhancement in the fluorescence intensity over the nonspecific case
- Co-localization of ex vivo fluorescence signal from targeted probe with gram-staining



Summary

New method using tunable **SWNT probes for in vivo targeting and fluorescence optical imaging of bacterial infections:**

- Biologically functionalized whilst retaining high NIR-photoluminescence
 - Engineer M13 for detection of a wide range of pathogens
 - Low dose achieving high contrast detection for minimizing patient exposure

- SWNTs probes as a non-ionizing, relatively less expensive alternative NIR-II imaging modality for non-invasive detection and monitoring of infectious diseases in the body

Drawbacks:

- Pharmacokinetics & biodistribution
- Strong signal in liver: may hinder imaging

***In vivo* biosensing via tissue-localizable near-infrared-fluorescent single-walled carbon nanotubes**

Nicole M. Iverson^{1,2}, Paul W. Barone¹, Mia Shandell¹, Laura J. Trudel², Selda Sen^{1,3}, Fatih Sen^{1,4}, Vsevolod Ivanov², Esha Atolia², Edgardo Farias², Thomas P. McNicholas¹, Nigel Reuel¹, Nicola M. A. Parry⁵, Gerald N. Wogan² and Michael S. Strano^{1*}

Introduction

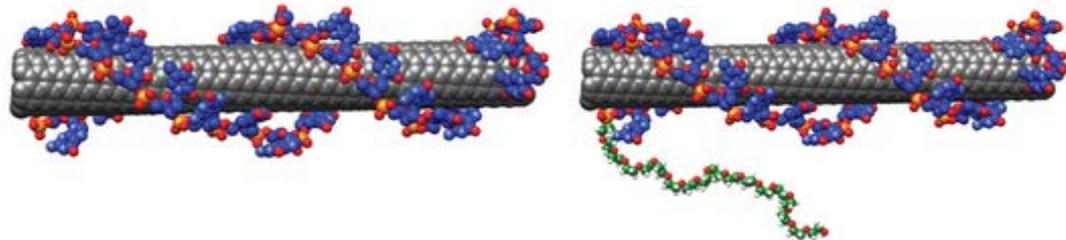
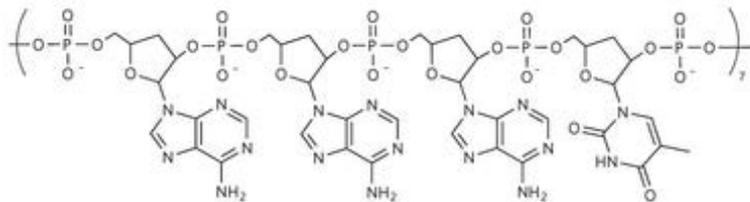
- Current technology allows for in vivo NO detection using an electrochemical probe surgically implanted in a rat's brain
--> Does not permit longterm or non-invasive NO detection

Aim: functionalized tissue localizable SWNTs as sensors of inflammation in vivo, using NO as a marker

Strategy: Conjugation of SWNTs with ssDNA molecule for selective binding to NO

ssDNA functionalization of SWNTs for inflammation sensing

- Model molecule: Nitric oxide (NO), produced during inflammation
 - Steady-state concentration of NO in tissues
 - Biologically relevant concentrations ranging over three orders of magnitude (~1nM-500nM)
 - SWNTs have demonstrated single-molecule sensitivity (in vitro) and can be functionalized to selectively detect a variety of molecules
 - Certain DNA sequences attached to SWNT have selective NO binding abilities in vitro
- Functionalization: ssDNA oligonucleotide d(AAAT)₇ conjugated with PEG segment (*PEG-DNA*)
- ssDNA for selective molecular recognition
 - PEGylation for biocompatibility and stability
- Optical signal transduction: NO binding perturbs nanotube electronic structure → Fluorescence quenching



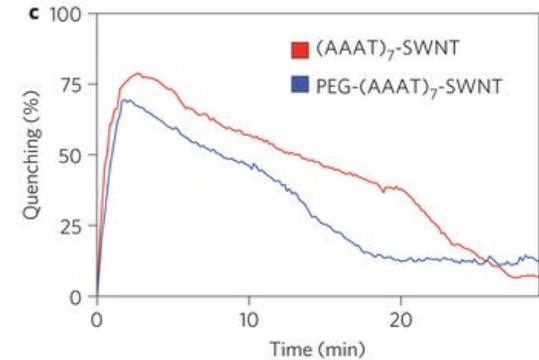
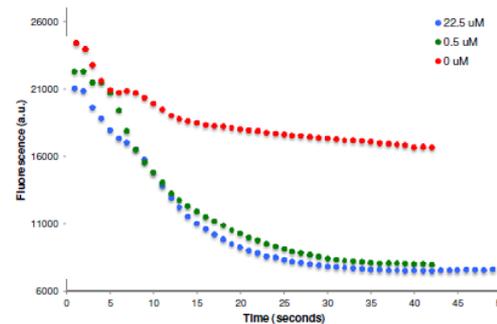
PEGylation of DNA-SWNT critical for i.v. stability

In vitro:

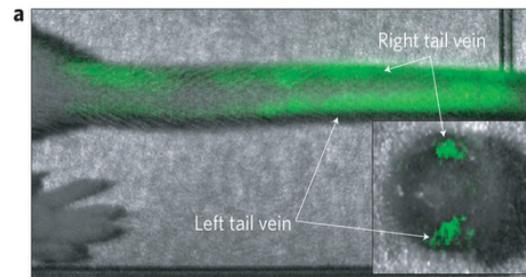
- Sensitivity: NO detection limit < 1uM
- Rapid fluorescence quenching

In vivo (tail vein injection):

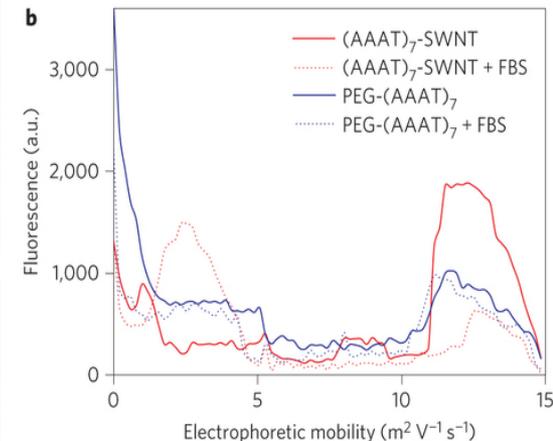
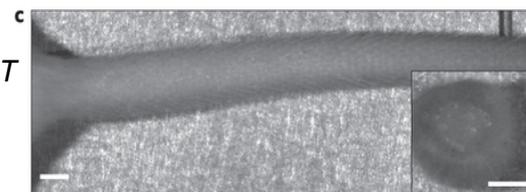
- Vein occlusion due to aggregates of serum proteins adsorption to *DNA-SWNTs*
- PEG ligation to *DNA-SWNTs* critical for in vivo circulation
- PEG moiety prevents adsorption



DNA-SWNT
injected =
accumulation

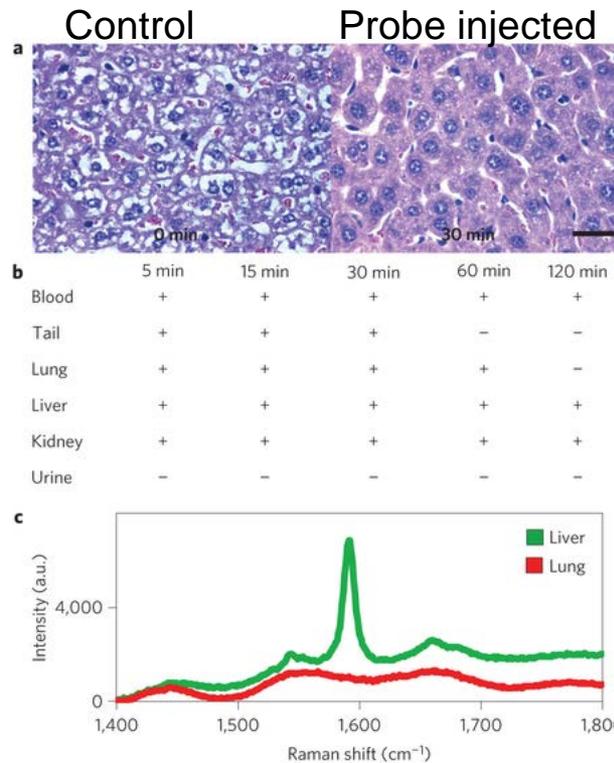


PEG-DNA-SWNT
injected =
clearance

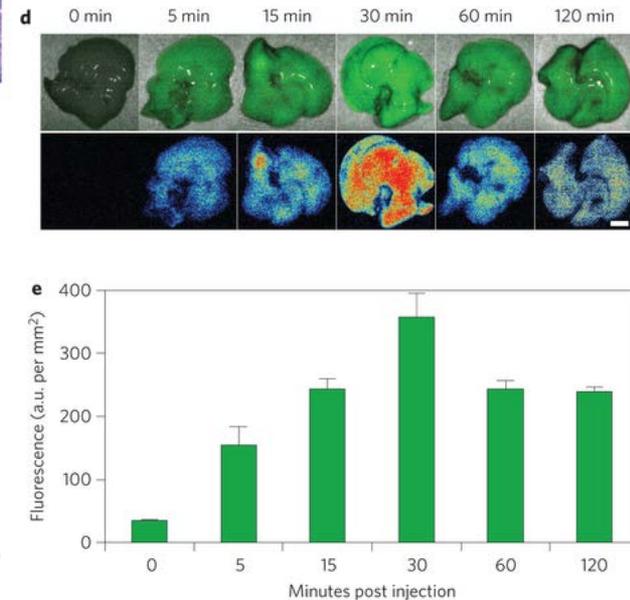


In vivo: Circulation time & Biodistribution

- Biocompatibility: Staining shows lack of inflammatory cell recruitment
 - SWNTs remain in vivo for at least 2hrs
 - Accumulation in liver (peak after 30mins, then constant)
 - SWNTs detectable in lung 5 mins after injection & cleared within 2 hrs
 - PEG-DNA-SWNTs can penetrate restrictive capillary networks without causing occlusion
- biocompatibility of PEG-DNA-SWNTs

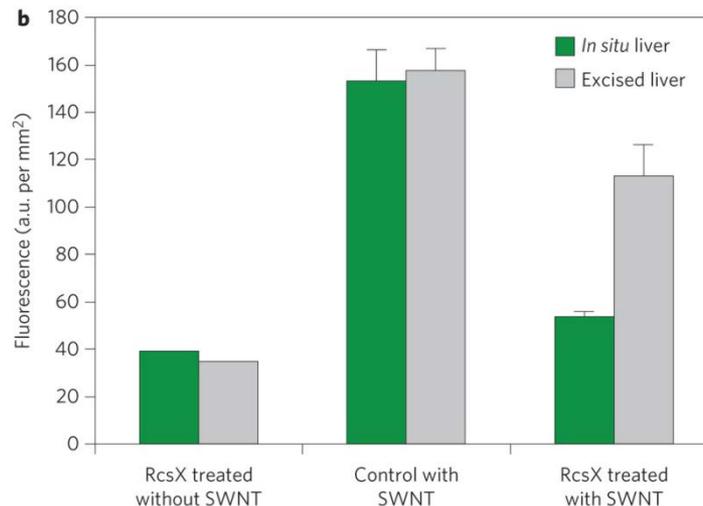
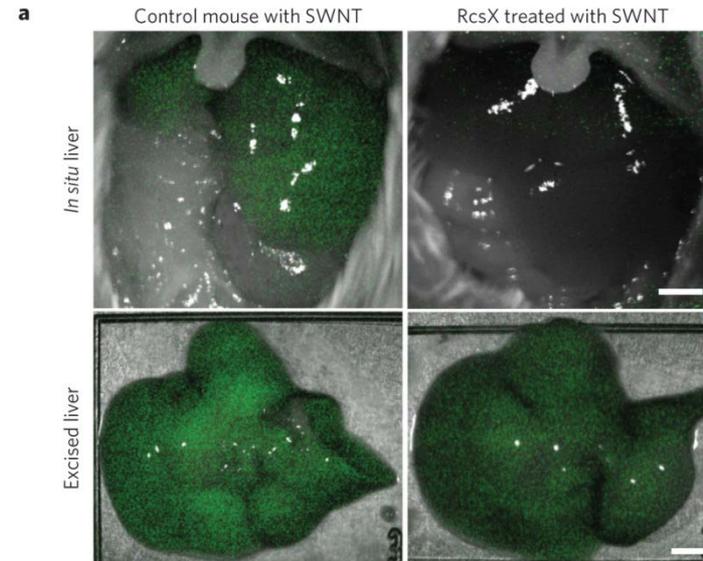


Fluorescence of PEG-DNA-SWNTs in mouse livers



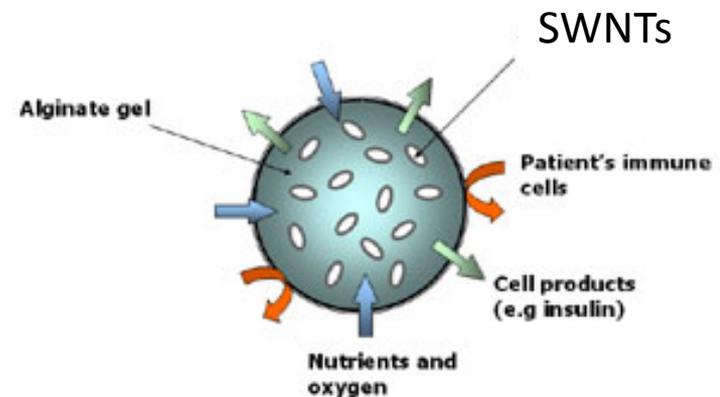
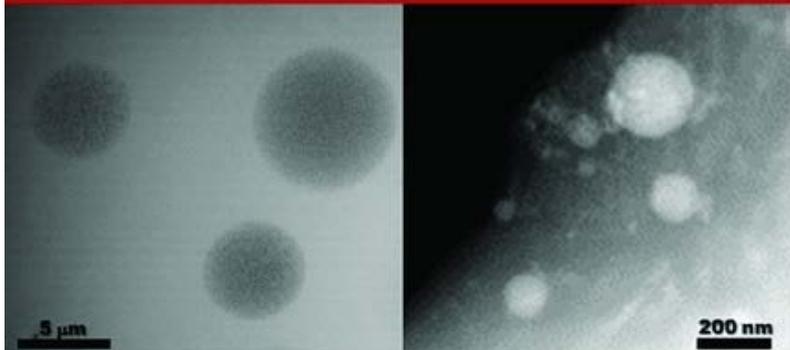
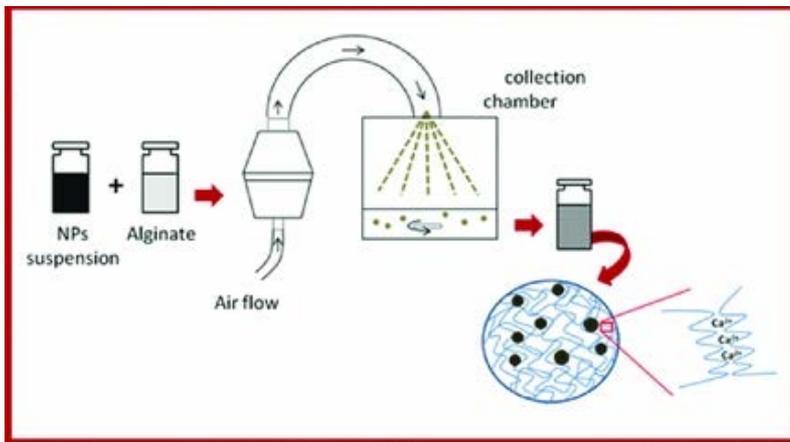
Diagnosis of inflammation in mice using PEG-DNA-SWNT sensors

- *SJL mouse model: inject tumor cells → intense inflammatory response → massive overproduction of NO over a predictable time course*
- Absence of fluorescence in **in-situ** images due to NO production during inflammation
- Need to make incision in abdominal cavity



Encapsulation of DNA-SWNTs for tissue-specific localization

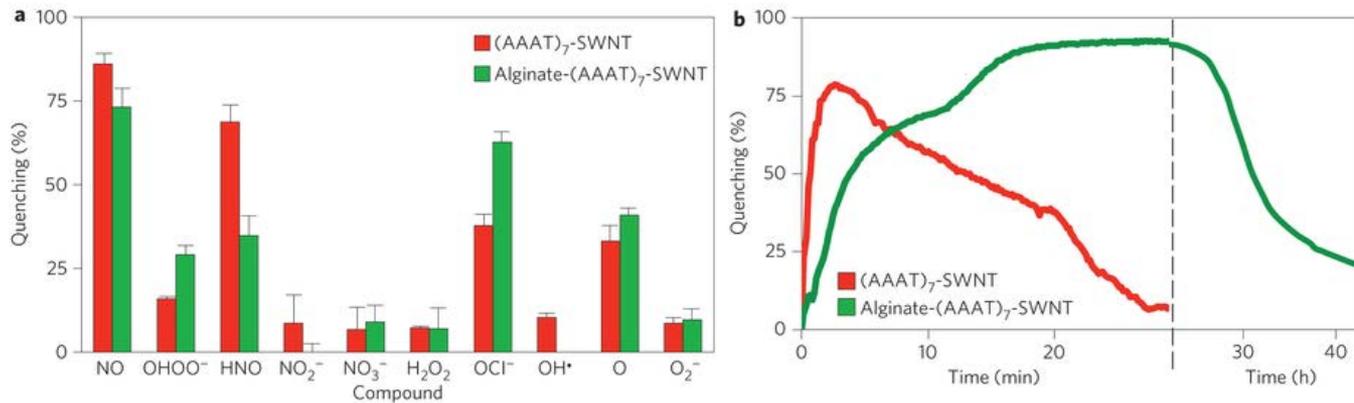
- Alginate is a natural biocompatible and biodegradable polymer
- Encapsulation of DNA-SWNT sensor in alginate-hydrogel



Epidermal tissue inflammation monitoring

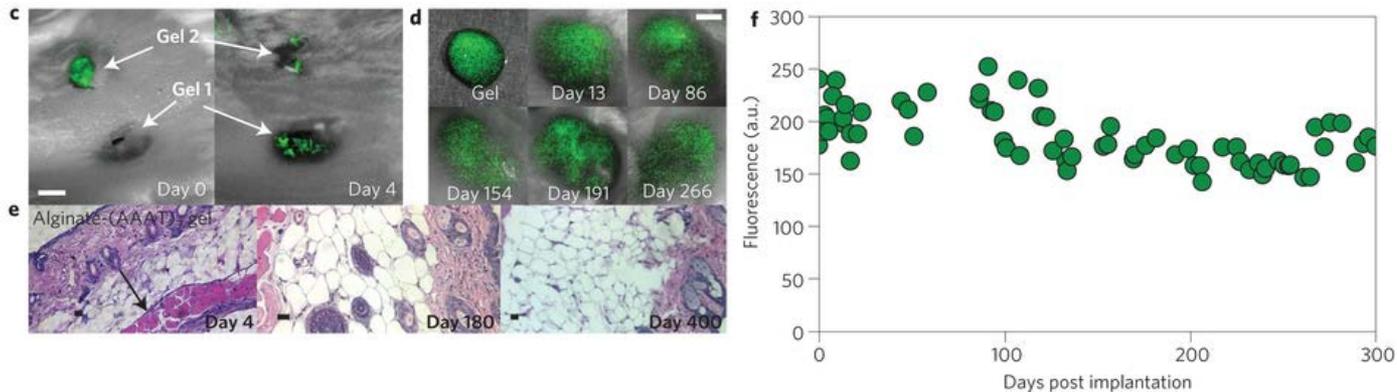
In vitro:

- *Alginate-DNA-SWNT* sensor retains NO specificity
 - Dynamic responses of encapsulated sensor to NO slower



In vivo:

- SubQ implantation of alginate-encapsulated DNA-SWNTs for long-term NO-sensing
- Total signal quenching after 20mins → *quenching by burst of NO in wound bed*
- In longitudinal implant study, gel remains intact and the signal is largely invariant (14% variability in intensity)



Summary

- PEG-DNA conjugation of SWNTs results in a biocompatible, stable probe for sensitive in vivo sensing of NO
- Injectable SWNT-sensor allows in situ inflammation imaging in mice liver
 - Half-life for liver retention: 4hrs; lung clearing: < 2 hrs
- Implantable SWNT-sensor holds potential as minimally invasive longitudinal inflammation monitor
 - No intrinsic inflammation or adverse responses detectable for >400 days

Limitations & outlook:

- Need to expose liver for in situ imaging → tuning of functionalization to overcome this
- More detailed pharmacokinetic studies (accumulation in liver)

Conclusion: SWNTs as in vivo biosensors

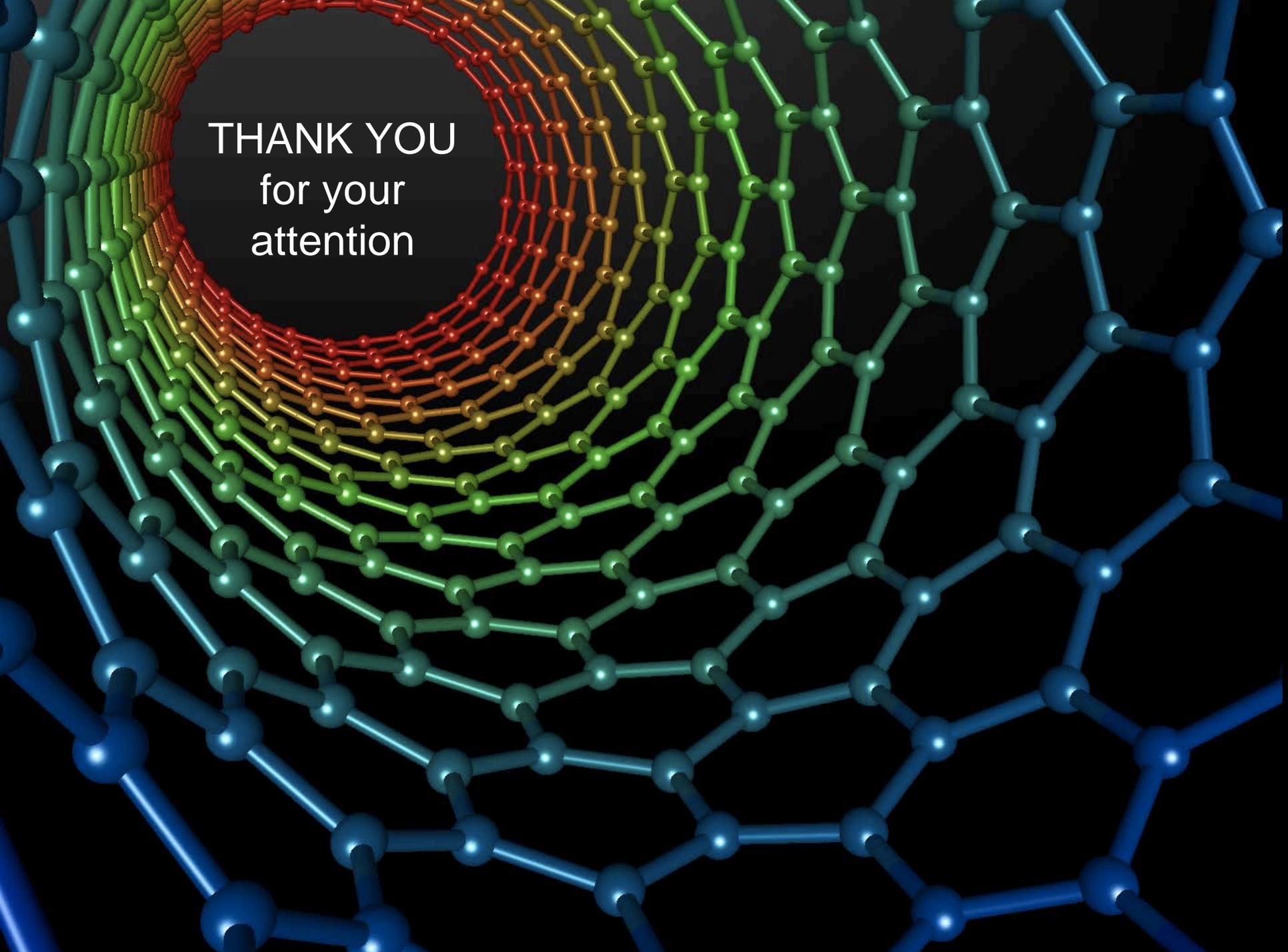
- Unique physical properties of CNTs allow for direct detection in vivo
- Functionalization of CNTs gives rise to a highly variable and selective, biocompatible probes

Issues

- SWNT inherently insoluble and toxic, need to be functionalized and require extensive biocompatibility and toxicity tests
- Pharmacokinetics & biodistribution: safety for use in humans

Outlook:

- Develop new specialized functionalizations of SWNT to detect new targets
- SWNT sensors loaded with, for example, drugs for “on site response” to analyte detection

A 3D molecular model of a carbon nanotube, showing a hexagonal lattice of atoms. The structure is colored with a gradient from blue on the outside to red on the inside, with green and yellow in between. The text "THANK YOU for your attention" is centered in the dark interior of the tube.

THANK YOU
for your
attention